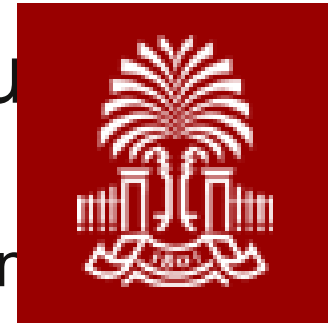


# Using the Lovász Local Lemma in the configuration model

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László Székely  
University of South Carolina

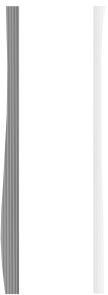


MAPCON, Dresden, May 2012

# When none of the events happen

Assume that  $A_1, A_2, \dots, A_n$  are events in a probability space  $\Omega$ . How can we infer  $\bar{A}_1 \bar{A}_2 \dots \bar{A}_n$  ?

If  $A_i$ 's are mutually independent,  $P(A_i) < 1$ , then  
If  $P(\bar{A}_1 \bar{A}_2 \dots \bar{A}_n) = \prod_{i=1}^n P(\bar{A}_i)$ , then  $P(A_1 A_2 \dots A_n) = \prod_{i=1}^n P(A_i)$

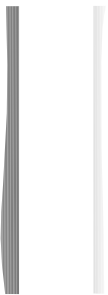


# A way to combine arguments:

Assume that  $A_1, A_2, \dots, A_n$  are **events** in a probability space  $\Omega$ .

Graph  $G$  is a **dependency graph** of the events  $A_1, A_2, \dots, A_n$ , if  $V(G) = \{1, 2, \dots, n\}$  and each  $A_i$  is independent of the elements of the event algebra generated by

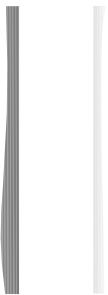
■



# Lovász Local Lemma (Erdős-Lovász 1975)

Assume  $G$  is a dependency graph for  $A_1, A_2, \dots, A_n$ , and  $d = \max$  degree in  $G$   
If for  $i=1, 2, \dots, n$ ,  $P(A_i) < p$ , and  $e(d+1)p < 1$ , then

┆



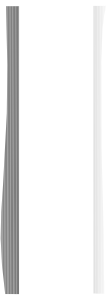
# Lovász Local Lemma (Spencer)

Assume  $G$  is a dependency graph for  $A_1, A_2, \dots, A_n$

If there exist  $x_1, x_2, \dots, x_n$  in  $[0, 1)$  such that

then

!

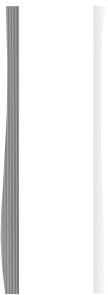


# Negative dependency graphs

Assume that  $A_1, A_2, \dots, A_n$  are events in a probability space  $\Omega$ .

Graph  $G$  with  $V(G) = \{1, 2, \dots, n\}$  is a **negative dependency graph** for events  $A_1, A_2, \dots, A_n$ , if

implies 



# LLL: Erdős-Spencer 1991, Albert-Freeze-Reed 1995, Ku

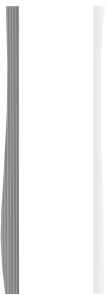
Assume  $G$  is a negative dependency graph for  $A_1, A_2, \dots, A_n$ , exist  $x_1, x_2, \dots, x_n$  in  $[0, 1)$  such that,  $\sum_{i \in N(v)} x_i < 1$ , then

Setting  $x_i = 1/(d+1)$  implies the uniform version both for dependency and negative dependency

# Needle in the haystack

LLL has been in use for existence proofs to exhibit the **existence of events of tiny probability**. **Is it good for other purposes?**

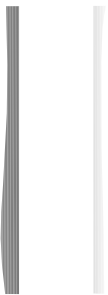
Where to find negative dependency graphs that are not dependency graphs?





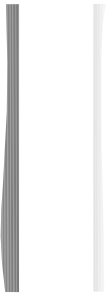
# Poisson paradigm

Assume that  $A_1, A_2, \dots, A_n$  are events in a probability space  $\Omega$ ,  $p(A_i) = p_i$ . Let  $X$  denote the sum of indicator variables of the events. If dependencies are rare,  $X$  can be approximated with Poisson distribution of mean  $\sum p_i$ .  
 $X \sim \text{Poisson means } \lambda \text{ using } k=0,$



# Two negative dependency graphs

$H$  is a complete graph  $KN$  or a complete bipartite graph  $KN,L$ ;  $\Omega$  is the uniform probability space of maximal matchings in  $H$ . For a partial matching  $M$ , the canonical event  $AM$  and  $AM^*$  are in conflict:  $M$  and  $M^*$  have no common extension into maximal matching, i.e.

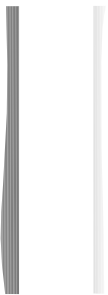


# Main theorem

For a graph  $H=KN$  or  $KN,L$ , and a family of canonical events, if the edges of the graph  $G$  are defined by conflicts, then  $G$  is a negative dependency graph.

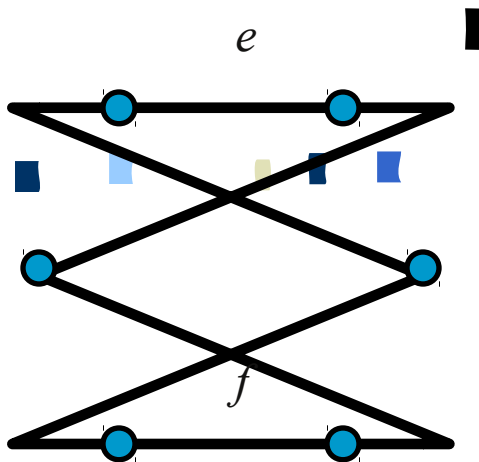
↓

This theorem fails to extend for the hexagon  $H=C6$



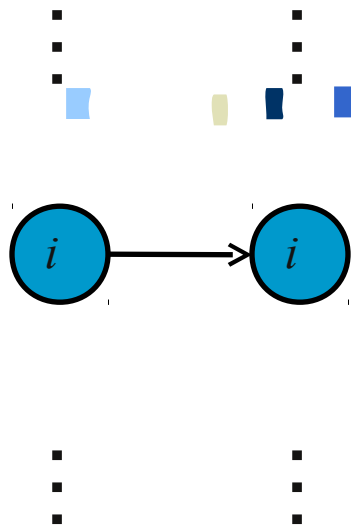
# Hexagon example

Two perfect matchings

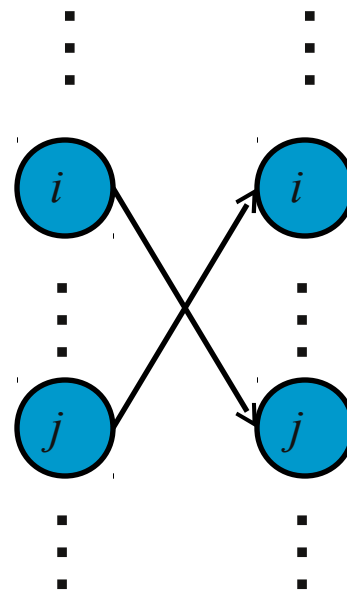


# Relevance for permutation enumeration problems

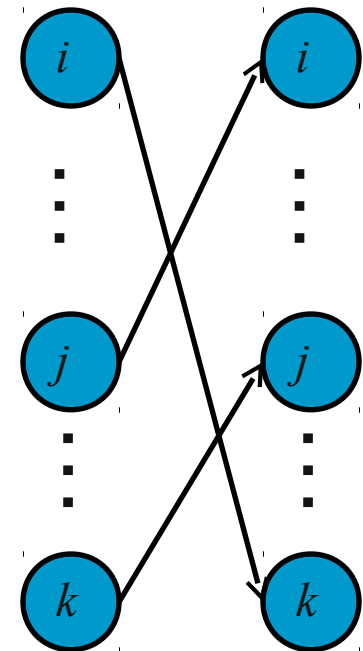
Derangements avoid:



2-cycle free avoids:



3-cycle free avoids:

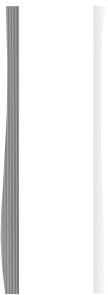


# $\varepsilon$ -near-positive dependency graphs

Assume that  $A_1, A_2, \dots, A_n$  are events in a probability space  $\Omega$ .

Graph  $G$  with  $V(G) = \{1, 2, \dots, n\}$  is an  $\varepsilon$ -near-positive dependency graph of the events  $A_1, A_2, \dots, A_n$ ,

■



# Main asymptotic theorem (conditions)

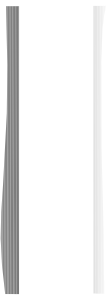
$M$  is a set of partial matchings in  $KN (2|N)$  or  $KN, L (N \leq L)$ ;

$M$  is antichain for inclusion

$r$  is the size of the largest matching from  $M$

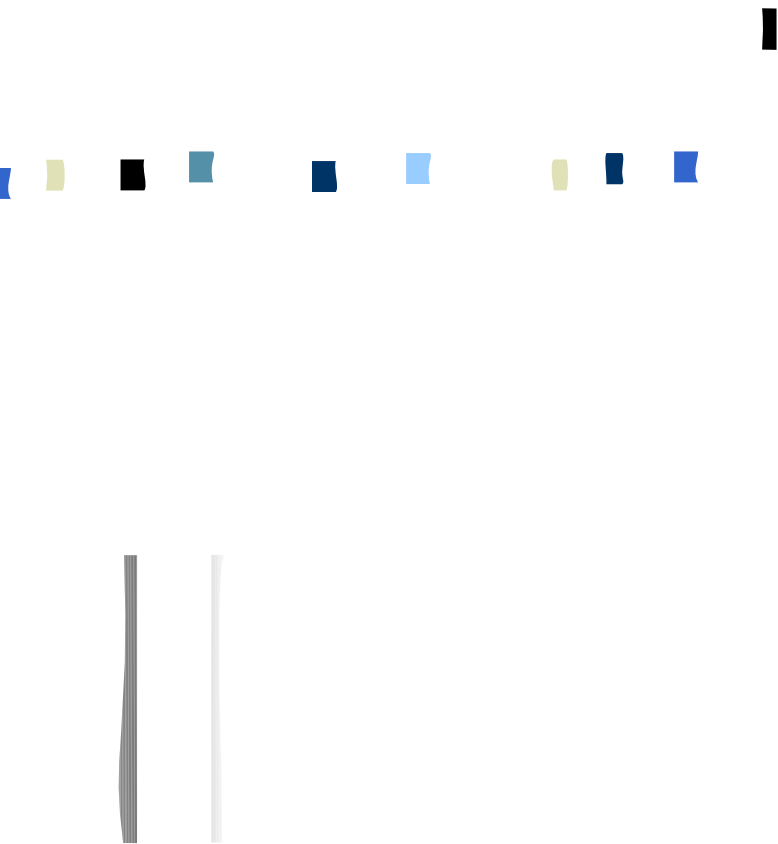
# Main asymptotic theorem (conditions continued)

$\epsilon$   $\delta$   $\eta$  with  $\epsilon$   $\delta$   $\eta$   
for all





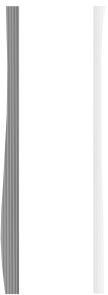
# Main asymptotic theorem - conclusion



# Consequences for permutation enumeration

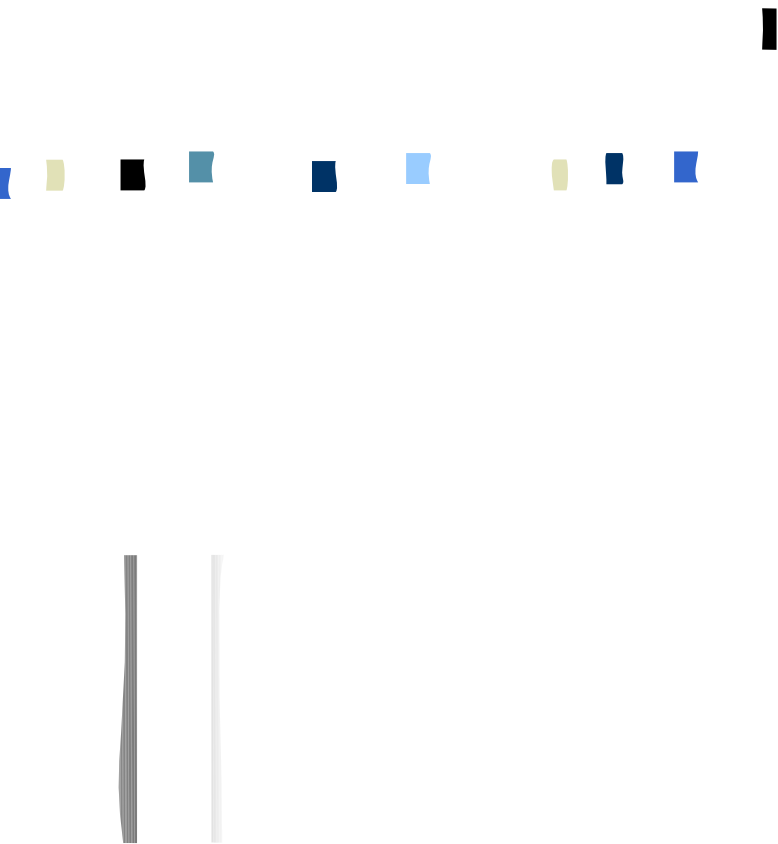
For  $k$  fixed, the proportion of  $k$ -cycle free permutations is

(Bender 70's) If  $\max K$  grows slowly with  $n$ , the proportion of permutations free of cycles of length from set  $K$  is



# Enumeration of labeled $d$ -regular graphs

Bender-Canfield, independently Wormald 1978:  $d$  fixed,  $nd$  even



# Configuration model

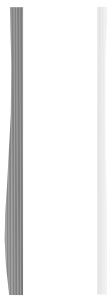
(Bollobás 1980)

Put  $nd$  ( $nd$  even) vertices into  $n$  equal clusters

Pick a random matching of  $K_{nd}$

Contract every cluster into a single vertex getting a multigraph or a simple graph

Observe that all simple graphs are equiprobable



# Enumeration of labeled regular graphs

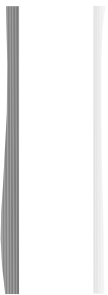
Bollobás 1980:  $nd$  even,

McKay 1985: for

# Enumeration of labeled regular graphs

McKay, Wormald 1991:  $nd$  even,

Wormald 1981: fix  $d \geq 3, g \geq 3$  girth

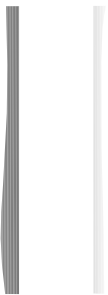


# Theorem (from main)

In the configuration model, if  $d \geq 3$  and  $g \geq 6$   $(d-1)2^{g-3} = o(n)$ , then the probability that the resulting random  $d$ -regular multigraph after the contraction has girth at least  $g$ , is

hence the number of  $d$ -regular graphs with girth at least  $g$  is

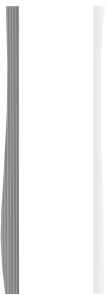
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# McKay, Wormald, Wysocka [2004]

Our **condition is slightly stronger** than in McKay, Wormald, Wysocka [2004]:  
 $(d-1)2g-3 = o(n)$

!





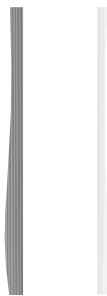
# Configuration model for degree sequences (Bollobás 1980)

Put  $N=d_1+d_2+\dots+d_n$  (even sum) vertices into  $n$  clusters,  $d_1 \leq d_2 \leq \dots \leq d_n$

Pick a **random matching** of  $KN$

**Contract every cluster** into a single vertex getting a multigraph or a simple graph

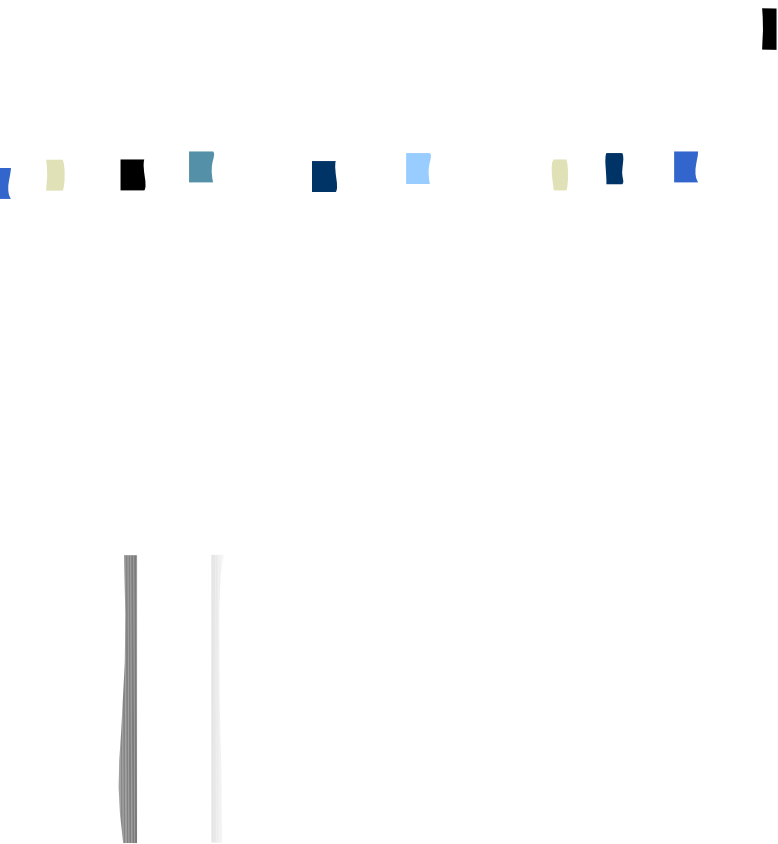
Observe that **all simple graphs are equiprobable**



# New Theorem (hypotheses)

For a sequence  $x_1, \dots, x_n$ , set

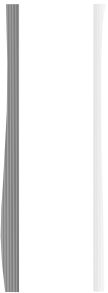
Assume  $d_1 \geq 1$ ,  $\dots$ , set  $D_j = d_j(d_{j-1})$  and



# New Theorem (conclusion) (McKay and Wormald 1991) without girth condition

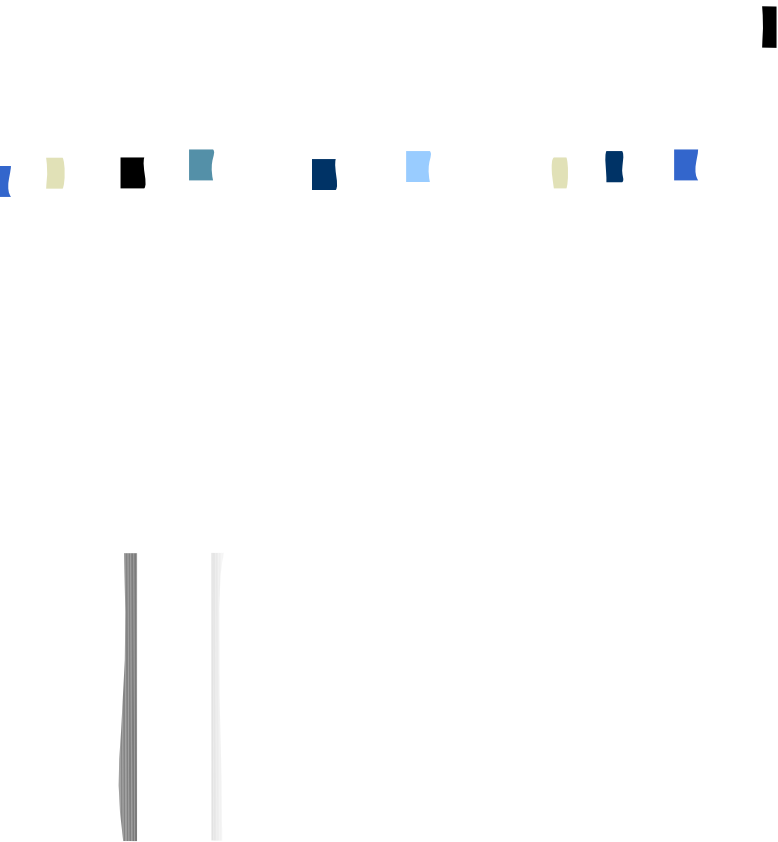
Then the number of graphs with degree sequence  $d_1 \leq d_2 \leq \dots \leq d_n$  and girth at least  $g \geq 3$  is

!



# More general results hold:

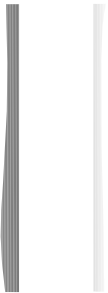
For excluded sets of cycles (instead of excluding all short cycles)  
Also for bipartite degree sequences



# Classic Erdős result with the probabilistic method:

There are graphs with girth  $\geq g$  and chromatic number at least  $k$ , for any given  $g$  and  $k$ .

!



# Turning the Erdős result universal from existential:

In the configuration model, assume  $d_1 \geq 1$ ,  
 $k$  fixed,

and

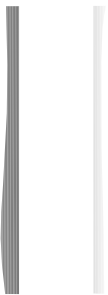
Then almost all graphs with degree sequence  $d_1 \leq d_2 \leq \dots \leq d_n$  and girth at least  $g \geq 3$  are not  $k$ -colorable.

# Recall:

For a graph  $H=KN$  or  $KN,L$ , and a family of canonical events, if the edges of the graph  $G$  are defined by conflicts, then  $G$  is a negative dependency graph.

↓

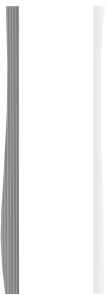
This theorem fails to extend for the hexagon  $H=C6$



# A slightly stronger result (Austin Mohr)

Assume  $r$  divides  $N$ . For a hypergraph  $H=KN(r)$ , and a family of canonical events, if the edges of the graph  $G$  are defined by conflicts, then  $G$  is a negative dependency graph.

!





# A conjecture (Austin Mohr)

$\Omega$  is the uniform probability space of partitions of a set  $H$ .  
For a set of disjoint subsets  $M$  of  $H$ , the canonical event is

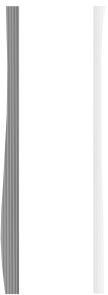
Canonical events  $M$  and  $M^*$  are in conflict:  $M$  and  $M^*$  have no common extension into a partition

**CONJECTURE:** For a family of canonical events, if the edges of the graph  $G$  are defined by conflicts, then  $G$  is a negative dependency graph.

# A theorem for spanning trees

$\Omega$  is the uniform probability space of spanning trees in  $KN$ . For a circuit-free set of edges  $M$ , the canonical event

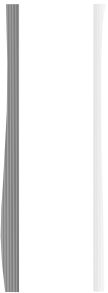
Canonical events  $AM$  and  $AM^*$  are in conflict:



# Spanning tree theorem (with Austin Mohr)

For a family of canonical events, if the edges of the graph  $G$  are defined by conflicts, then  $G$  is a negative dependency graph.

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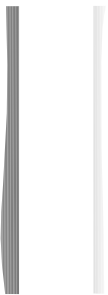


# van der Waerden conjecture – Egorychev-Falikman theorem 1981

For a non-negative doubly stochastic  $n \times n$  matrix  $A$ ,  $\text{permanent}(A)$  is minimized, if  $a_{ij} = 1/n$ .

If all  $a_{ij} = 1/n$  then

■



# Is there a negative dependency graph for doubly stoch. matrices?

Using the doubly stoch. matrix  $A=(a_{ij})$ , define a **random function**  $\pi$  on  $[n]$  by selecting  $\pi(i)$  independently for each  $i$ , with

Define **event**  $B_i$  by

is the event that  $\pi$  is a permutation.

Note that

Do the events  $B_1, \dots, B_n$  define an edgeless **negative dependency graph**?

# Is there a negative dependency graph for doubly stoch. matrices?

If the events  $B_1, \dots, B_n$  define an edgeless negative dependency graph, then

If  $a_{ij}=1/n$ , the events  $B_1, \dots, B_n$  define an edgeless negative dependency graph and  $(e-o(1))^{-n} < \text{Permanent}(A)$

Leonid Gurvits: not always negative dependency graph

